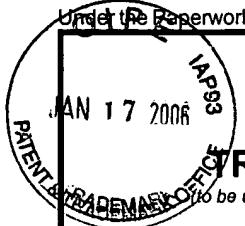


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/749,729
		Filing Date	December 30, 2003
		First Named Inventor	Jeffrey A. Humber
		Group Art Unit	3751
		Examiner Name	Unknown
Total Number of Pages in This Submission	7	Attorney Docket Number	1896-059

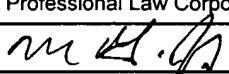
**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Checklist and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Notification of Loss of Small Entity Status; Correction of Fee Payment as Result of Mistaken Small Entity Status Pursuant to 37 CFR §1.28
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**Remarks:**

Transmitted herewith are: Fee Transmittal Form, in duplicate, with \$395 check; Notification of Loss of Small Entity Status; Correction of Fee Payment as Result of Mistaken Small Entity Status Pursuant to 37 CFR §1.28; and a prepaid Return Postcard.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	(Atty)	Michael H. Jester Reg. No. 28,022 A Professional Law Corporation
Signature		
Date	January 12, 2006	

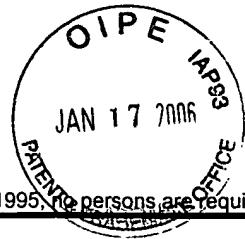
**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail (postage pre-paid) in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date:

January 12, 2006

Typed or printed name	Michael H. Jester
Signature	
	✓
	Date
	1/12/06

Please put a mark inside this box:



PTO/SB/17 REV 1 (11/00)

Approved for use through 09/30/2000. omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§ 1.27 and 1.28

**TOTAL AMOUNT OF PAYMENT** **\$ 395**

### METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 50 0626  
Deposit Account Name: Michael H. Jester

Charge Any Additional Fee Required Under CFR 1.16 and 1  
 Charge the Issue Fee Set in 37 CFR 1.18 at Mailing of Notice of Allowance (37 CFR 1.311(b)).

2.  Payment Enclosed:  
 Check  Money Order  Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
1011	300	2011	150
1012	200	2012	100
1013	200	2013	100
1014	300	2014	150
1015	200	2015	100
SUBTOTAL (1) (\$)			

#### 2. EXTRA CLAIM FEES

Total  - 20\*\* =  x  =   
Independent  - 3\*\* =  x  =   
Multiple Dependent  =  x  =

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Code	Small Entity Code	Fee Description
1202	50	2202
1201	200	2201
1203	360	2203
1204	200	2204
1205	50	2205
SUBTOTAL (2) (\$)		

Complete If Known	
Application Number	10/749,729
Filing Date	December 30, 2003
First Named Inventor	Jeffrey A. Humber
Examiner Name	Charles E. Phillips
Group / Art Unit	3751
Attorney Docket No.	1896-059

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Code	Small Entity Code	Fee Description	Fee Paid
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	120	2251	60
1252	450	2252	225
1253	1,020	2253	510
1254	1,590	2254	795
1255	2,160	2255	1,080
1401	500	2401	250
1402	500	2402	250
1403	1,000	2403	500
1451	1,510	1451	1,510
1452	500	2452	250
1453	1,500	2453	750
1501	1,400	2501	700
1502	800	2502	400
1503	1,100	2503	550
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	790	2809	395
1810	790	2810	395
Other fee (specify)		Search Fee (500/250)	
Other fee (specify)		Examination Fee (200/100)	
Other fee (specify)		Balance of large entity fee due for 7/8/05 filing of RCE	395.00

SUBTOTAL (3) **(\$ 395.00)**

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY				COMPLETE (if applicable)	
Typed or Printed Name	Michael H. Jester			Reg. Number	28,022
Signature	<i>Michael H. Jester</i>	✓	Date	1-12-06 ✓	Deposit Account User ID 50 0626



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent application of: )  
Jeffry A. Humber ) Confirmation No.: 9048  
Serial No.: 10/0749,729 ) Group Art Unit: 3751  
Filed: December 30, 2003 ) Examiner: Charles E. Phillips  
For: ***Closet Flange with Knockout  
Retainer*** )

## **NOTIFICATION OF LOSS OF SMALL ENTITY STATUS**

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Please be advised that the above-captioned patent application is no longer entitled to small entity status.

Respectfully submitted,

Mark H. Johnson

By: Michael H. Jester  
Attorney for Applicant  
Registration No. 28,022



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent application of: )  
Jeffry A. Humber ) Confirmation No.: 9048  
Serial No.: 10/0749,729 ) Group Art Unit: 3751  
Filed: December 30, 2003 ) Examiner: Charles E. Phillips  
For: ***Closet Flange with Knockout  
Retainer*** )

**CORRECTION OF LOSS OF FEE PAYMENT AS THE RESULT OF  
MISTAKEN SMALL ENTITY STATUS PURSUANT TO 37 CFR §1.28**

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

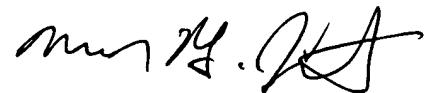
The undersigned first learned on January 10, 2006, that the above-captioned patent application may have lost its entitlement to small entity status as the result of the acquisition of its assignee, IPS Corporation, by Nautic Partners at the end of June, 2004.

It is noted for the record that on July 8, 2005, a REQUEST FOR CONTINUED EXAMINATION (RCE) was mailed to the USPTO with an amendment and the small entity fee of \$395. As the current fee for filing an RCE is \$790, a check in the amount of \$395 is being transmitted herewith to cover the fee deficiency. Upon information and belief the small entity RCE fee was inadvertently mailed to the USPTO July 8, 2005. Authorization is hereby given to charge Deposit Account No. 50-0626 for any additional fee required.

Also transmitted herewith is a NOTIFICATION OF LOSS OF SMALL ENTITY STATUS . It should be noted, however, that the undersigned is not aware of the precise legal relationship

between Nautic Partners and IPS Corporation, nor is it aware of the size of Nautic Partners or of the various entities that may be owned thereby, controlled thereby or related thereto. Accordingly, the undersigned is not certain that the subject patent application is not entitled to small entity status. However, out of an abundance of caution, the NOTIFICATION is being filed.

Respectfully submitted,

 11/12/06

By: Michael H. Jester  
Attorney for Applicant  
Registration No. 28,022